

## AMENDMENTS TO THE CLAIMS

Claims 37 and 38 have been previously canceled. Claims 1, 6, 10, 13, 14, 18-29, 30, 34 and 36 have been previously amended. Claims 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29 and 34 are currently amended. New claim 39 has been previously added. New claim 40 is added with this amendment. All pending claims are listed below for the convenience of the Examiner.

## LISITING OF CLAIMS

- 1 1. (amended) A multilayer optical fiber coupler for coupling optical radiation between an  
2 optical device and an optical fiber, comprising:  
3 a first layer, said first layer defining a fiber socket formed by [photolithographic] masking  
4 and deep reactive ion etching to extend through said first layer, said fiber socket sized to  
5 receive and align said optical fiber therein;  
6 a second layer bonded to said first layer;  
7 said optical fiber having an end section that extends through the fiber socket, said optical  
8 fiber terminating at an end face situated approximately adjacent to the second layer, said  
9 fiber socket aligning and positioning said optical fiber therein; and wherein said second layer  
10 has an index of refraction substantially equal to the index of refraction of the core of said  
11 optical fiber.
- 1 2. The optical fiber coupler of claim 1 wherein said optical fiber comprises a single mode  
2 optical fiber.
- 1 3. The optical fiber coupler of claim 1 wherein said first layer comprises substantially single-  
2 crystal silicon.
- 1 4. The optical fiber coupler of claim 1 wherein said second layer comprises silicon.
- 1 5. The optical fiber coupler of claim 1 wherein said second layer comprises glass.
- 1 6. (amended) A multilayer optical fiber coupler for coupling optical radiation between an  
2 optical device and an optical fiber, comprising:  
3 a first layer, said first layer defining a fiber socket formed by [photolithographic] masking  
4 and deep reactive ion etching to extend through said first layer, said fiber socket sized to

5 receive and align said optical fiber therein;  
6 a second layer bonded to said first layer;  
7 said optical fiber having an end section that extends through the fiber socket, said optical  
8 fiber terminating at an end face situated approximately adjacent to the second layer, said  
9 fiber socket aligning and positioning said optical fiber therein; and  
10 an epoxy that fills the gap between the end face of the optical fiber and the adjacent portion  
11 of the second layer, said epoxy having an index of refraction that approximately matches the  
12 index of the optical fiber so that optical losses are reduced.

1 7. (amended) A multilayer optical fiber coupler for coupling optical radiation between an  
2 optical device and an optical fiber, comprising:  
3 a first layer, said first layer defining a fiber socket formed by [photolithographic] masking  
4 and deep reactive ion etching to extend through said first layer, said fiber socket sized to  
5 receive and align said optical fiber therein;  
6 a second layer bonded to said first layer;  
7 said optical fiber having an end section that extends through the fiber socket, said optical  
8 fiber terminating at an end face situated approximately adjacent to the second layer, said  
9 fiber socket aligning and positioning said optical fiber therein; and  
10 an optical device integrated into said second layer.

1 8. The optical fiber coupler of claim 7 wherein said optical device comprises a VCSEL to  
2 provide an integrated fiber optic transmitter.

1 9. The optical fiber coupler of claim 7 wherein said optical device comprises a photodetector to  
2 provide an integrated fiber optic receiver.

1 10. (amended) A multilayer optical fiber coupler for coupling optical radiation between an  
2 optical device and an optical fiber, comprising:  
3 a first layer, said first layer defining a fiber socket formed by [photolithographic] masking  
4 and deep reactive ion etching to extend through said first layer, said fiber socket sized to  
5 receive and align said optical fiber therein;  
6 a second layer bonded to said first layer, wherein said second layer comprises an optical  
7 focusing element arranged to couple optical radiation with said optical fiber;

8       said optical fiber having an end section that extends through the fiber socket, said optical  
9       fiber terminating at an end face situated approximately adjacent to the second layer, said  
10      fiber socket aligning and positioning said optical fiber therein; and  
11      wherein said optical focusing element comprises a gradient-index lens.

1       11. The optical fiber coupler of claim 10 wherein said optical focusing element has a focal point  
2       for optical radiation from the optical device, said optical fiber includes a core and a cladding  
3       surrounding said core, and said focal point is approximately situated along the central axis of  
4       said fiber socket, so that the optical radiation is coupled into said core of said optical fiber.

1       12. The optical fiber coupler of claim 11 wherein said optical fiber comprises a single mode  
2       fiber.

1       13. (amended) A multilayer optical fiber coupler for coupling optical radiation between an  
2       optical device and an optical fiber, comprising:  
3       a first layer, said first layer defining a fiber socket formed by [photolithographic] masking  
4       and deep reactive ion etching to extend through said first layer, said fiber socket sized to  
5       receive and align said optical fiber therein;  
6       a second layer bonded to said first layer, wherein said second layer comprises an optical  
7       focusing element arranged to couple optical radiation with said optical fiber;  
8       said optical fiber having an end section that extends through the fiber socket, said optical  
9       fiber terminating at an end face situated approximately adjacent to the second layer, said  
10      fiber socket aligning and positioning said optical fiber therein; and  
11      wherein said optical focusing element comprises a diffractive lens.

1       14. (amended) A multilayer optical fiber coupler for coupling optical radiation between an  
2       optical device and an optical fiber, comprising:  
3       a first layer, said first layer defining a fiber socket formed by [photolithographic] masking  
4       and deep reactive ion etching to extend through said first layer, said fiber socket sized to  
5       receive and align said optical fiber therein;  
6       a second layer bonded to said first layer;  
7       said optical fiber having an end section that extends through the fiber socket, said optical  
8       fiber terminating at an end face situated approximately adjacent to the second layer, said

9       fiber socket aligning and positioning said optical fiber therein; and  
10      a third layer bonded to said second layer, said third layer comprising an optical device.

1       15. The optical fiber coupler of claim 14 wherein said optical device comprises a VCSEL.

1       16. The optical fiber coupler of claim 14 wherein said second layer comprises an optical focusing  
2       element.

1       17. The optical fiber coupler of claim 14 wherein said third layer comprises an optical focusing  
2       element.

1       18. (amended) A method for making a plurality of monolithic optical fiber couplers that align an  
2       optical fiber that have a predetermined diameter, comprising:

3       [photolithographically] masking and deep reactive ion etching a first layer to form a plurality  
4       of through holes through the first layer, thereby forming a plurality of [cylindrical] fiber  
5       sockets in a predetermined configuration, said fiber sockets having a diameter approximately  
6       equal to the diameter of the optical fiber;

7       bonding said first layer to a second layer together to provide a composite wafer;  
8       dicing said composite wafer into a plurality of chips, each chip including one or more fiber  
9       sockets;

10      affixing optical fibers into said fiber sockets;

11      forming a plurality of VCSELs in said second layer in a predetermined configuration  
12      corresponding to the configuration of said fiber sockets; and

13      aligning said first layer with said second layer so that said VCSELs are aligned with said  
14      fiber sockets, and then performing said step of bonding said first and second layers together  
15      to provide said composite wafer.

1       19. (amended) A method for making a plurality of monolithic optical fiber couplers that align an  
2       optical fiber that have a predetermined diameter, comprising:

3       [photolithographically] masking and deep reactive ion etching a first layer to form a plurality  
4       of through holes through the first layer, thereby forming a plurality of [cylindrical] fiber  
5       sockets in a predetermined configuration, said fiber sockets having a diameter approximately  
6       equal to the diameter of the optical fiber;

7       bonding said first layer to a second layer together to provide a composite wafer;

8       dicing said composite wafer into a plurality of chips, each chip including one or more fiber

9       sockets;  
10      affixing optical fibers into said fiber sockets;  
11      forming a plurality of photodetectors in said second layer in a predetermined configuration  
12      corresponding to the configuration of said fiber sockets; and  
13      aligning said first layer with said second layer so that said photodetectors are aligned with  
14      said fiber sockets, and then performing said step of bonding said first and second layers  
15      together to provide said composite wafer.

1       20. (amended) A method for making a plurality of monolithic optical fiber couplers that align an  
2      optical fiber that have a predetermined diameter, comprising:  
3      [photolithographically] masking and deep reactive ion etching a first layer to form a plurality  
4      of through holes through the first layer, thereby forming a plurality of [cylindrical] fiber  
5      sockets in a predetermined configuration, said fiber sockets having a diameter approximately  
6      equal to the diameter of the optical fiber;  
7      bonding said first layer to a second layer together to provide a composite wafer;  
8      dicing said composite wafer into a plurality of chips, each chip including one or more fiber  
9      sockets;  
10     affixing optical fibers into said fiber sockets;  
11     forming a plurality of optical focusing elements in said second layer in a predetermined  
12     configuration corresponding to the configuration of said fiber sockets; and  
13     aligning said first layer with said second layer so that said optical focusing elements are  
14     aligned with said fiber sockets, and then performing said step of bonding said first and  
15     second layers together to provide said composite wafer.

1       21. (amended) A method for making a plurality of monolithic optical fiber couplers that align an  
2      optical fiber that have a predetermined diameter, comprising:  
3      [photolithographically] masking and deep reactive ion etching a first layer to form a plurality  
4      of through holes through the first layer, thereby forming a plurality of [cylindrical] fiber  
5      sockets in a predetermined configuration, said fiber sockets having a diameter approximately  
6      equal to the diameter of the optical fiber;  
7      bonding said first layer to a second layer together to provide a composite wafer;  
8      dicing said composite wafer into a plurality of chips, each chip including one or more fiber  
9      sockets;

10 affixing optical fibers into said fiber sockets; and  
11 wherein said step of forming said plurality of optical focusing elements comprises forming  
12 refractive lenses.

1 22. (amended) A method for making a plurality of monolithic optical fiber couplers that align an  
2 optical fiber that have a predetermined diameter, comprising:  
3 [photolithographically] masking and deep reactive ion etching a first layer to form a plurality  
4 of through holes through the first layer, thereby forming a plurality of [cylindrical] fiber  
5 sockets in a predetermined configuration, said fiber sockets having a diameter approximately  
6 equal to the diameter of the optical fiber;  
7 bonding said first layer to a second layer together to provide a composite wafer;  
8 dicing said composite wafer into a plurality of chips, each chip including one or more fiber  
9 sockets;  
10 affixing optical fibers into said fiber sockets; and  
11 wherein said step of forming said plurality of optical focusing elements comprises forming  
12 diffractive lenses.

1 23. (amended) A method for making a plurality of monolithic optical fiber couplers that align an  
2 optical fiber that have a predetermined diameter, comprising:  
3 [photolithographically] masking and deep reactive ion etching a first layer to form a plurality  
4 of through holes through the first layer, thereby forming a plurality of [cylindrical] fiber  
5 sockets in a predetermined configuration, said fiber sockets having a diameter approximately  
6 equal to the diameter of the optical fiber;  
7 bonding said first layer to a second layer together to provide a composite wafer;  
8 dicing said composite wafer into a plurality of chips, each chip including one or more fiber  
9 sockets;  
10 affixing optical fibers into said fiber sockets; and  
11 wherein said step of forming said plurality of optical focusing elements comprises forming  
12 gradient-index lenses.

1 24. (amended) A method for making a plurality of monolithic optical fiber couplers that align an  
2 optical fiber that have a predetermined diameter, comprising:  
3 [photolithographically] masking and deep reactive ion etching a first layer to form a plurality

4 of through holes through the first layer, thereby forming a plurality of [cylindrical] fiber  
5 sockets in a predetermined configuration, said fiber sockets having a diameter approximately  
6 equal to the diameter of the optical fiber;  
7 bonding said first layer to a second layer together to provide a composite wafer;  
8 dicing said composite wafer into a plurality of chips, each chip including one or more fiber  
9 sockets;  
10 affixing optical fibers into said fiber sockets; and  
11 wherein said second layer comprises an optical material that has an index of refraction  
12 substantially equal to the index of refraction of said optical fiber, and said step of affixing  
13 said optical fibers into said fiber sockets includes applying an epoxy that approximately  
14 matches the index of refraction of said optical fiber into the fiber sockets to fill the gap  
15 between adjacent sections of said second layer and said optical fiber.

1 25. (amended) A method for making a plurality of monolithic optical fiber couplers that align an  
2 optical fiber that have a predetermined diameter, comprising:

3 [photolithographically] masking and deep reactive ion etching a first layer to form a plurality  
4 of through holes through the first layer, thereby forming a plurality of [cylindrical] fiber  
5 sockets in a predetermined configuration, said fiber sockets having a diameter approximately  
6 equal to the diameter of the optical fiber;  
7 bonding said first layer to a second layer together to provide a composite wafer;  
8 dicing said composite wafer into a plurality of chips, each chip including one or more fiber  
9 sockets;  
10 affixing optical fibers into said fiber sockets; and  
11 wherein said step of bonding said first and second layers comprises anodic bonding.

1 26. (amended) A method for making a plurality of monolithic optical fiber couplers that align an  
2 optical fiber that have a predetermined diameter, comprising:

3 [photolithographically] masking and deep reactive ion etching a first layer to form a plurality  
4 of through holes through the first layer, thereby forming a plurality of [cylindrical] fiber  
5 sockets in a predetermined configuration, said fiber sockets having a diameter approximately  
6 equal to the diameter of the optical fiber;  
7 bonding said first layer to a second layer together to provide a composite wafer;  
8 dicing said composite wafer into a plurality of chips, each chip including one or more fiber

9       sockets;  
10      affixing optical fibers into said fiber sockets; and  
11      wherein said step of bonding said first and second layers comprises epoxy bonding.

1      27. (amended) A method for making a plurality of monolithic optical fiber couplers that align an  
2      optical fiber that have a predetermined diameter, comprising:  
3      [photolithographically] masking and deep reactive ion etching a first layer to form a plurality  
4      of through holes through the first layer, thereby forming a plurality of [cylindrical] fiber  
5      sockets in a predetermined configuration, said fiber sockets having a diameter approximately  
6      equal to the diameter of the optical fiber;  
7      bonding said first layer to a second layer together to provide a composite wafer;  
8      dicing said composite wafer into a plurality of chips, each chip including one or more fiber  
9      sockets;  
10     affixing optical fibers into said fiber sockets; and  
11     wherein said step of bonding said first and second layers comprises metal solder bonding.

12  
1      28. (amended) A method for making a plurality of monolithic optical fiber couplers that align an  
2      optical fiber that have a predetermined diameter, comprising:  
3      [photolithographically] masking and deep reactive ion etching a first layer to form a plurality  
4      of through holes through the first layer, thereby forming a plurality of [cylindrical] fiber  
5      sockets in a predetermined configuration, said fiber sockets having a diameter approximately  
6      equal to the diameter of the optical fiber;  
7      bonding said first layer to a second layer together to provide a composite wafer;  
8      dicing said composite wafer into a plurality of chips, each chip including one or more fiber  
9      sockets;  
10     affixing optical fibers into said fiber sockets; and  
11     wherein said dicing step comprises cutting partially through said composite wafer, then  
12     performing said affixing step to affix optical fibers to said fiber sockets, and then physically  
13     separating said composite wafer into chips, each of which comprises one or more optical  
14     couplers.

1 29. (amended) A method for making a plurality of monolithic optical fiber couplers that align an  
2 optical fiber that have a predetermined diameter, comprising:  
3 [photolithographically] masking and deep reactive ion etching a first layer to form a plurality  
4 of through holes through the first layer, thereby forming a plurality of [cylindrical] fiber  
5 sockets in a predetermined configuration, said fiber sockets having a diameter approximately  
6 equal to the diameter of the optical fiber;  
7 bonding said first layer to a second layer together to provide a composite wafer;  
8 dicing said composite wafer into a plurality of chips, each chip including one or more fiber  
9 sockets;  
10 affixing optical fibers into said fiber sockets; and  
11 bonding a third layer that comprises an optical device to said second layer.

1 30. (amended) A multilayer optical fiber coupler, comprising:  
2 a first layer, said first layer having one or more fiber sockets formed by masking and deep  
3 reactive ion etching to extend through said first layer, said fiber socket sized to receive and  
4 align an optical fiber therein.

1 31. The optical fiber coupler of claim 30 wherein said one or more fiber sockets include two or  
2 more fiber sockets.

1 32. The optical fiber coupler of claim 30, further comprising a second layer affixed to said first  
2 layer.

1 33. The optical fiber coupler of claim 32 wherein said optical fiber has an end section that  
2 extends through said fiber socket.

1 34. (amended) A method for making a plurality of monolithic optical fiber couplers that align an  
2 optical fiber that have a predetermined diameter, comprising:  
3 masking and deep reactive ion etching a first layer to form a plurality of through holes  
4 through the first layer, thereby forming a plurality of fiber sockets in a predetermined  
5 configuration, said fiber sockets having a diameter approximately equal to the diameter of the  
6 optical fiber.

1 35. The method of claim 34, further comprising affixing optical fibers into said fiber sockets.

1    36. The method of claim 34, further comprising dicing said first layer into a plurality of chips,  
2    said chip including one or more fiber sockets.

1    37. (cancel)

1    38. (cancel)

1    39. The method of claim 36 further comprising affixing optical fibers into said fiber sockets.

1    40. (new) The multilayer optical fiber coupler wherein said optical fiber comprises a single mode  
2    fiber.